



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Wenge ZHONG, *et al.*

Atty. Docket No.: 6539.00001

Confirmation No. 4535

Application No.: 10/736,289 (RCE)

Group Art Unit: 1625

Filed: December 12, 2003

Examiner: Zina Northington Davis

For: PYRID-2-ONE DERIVATIVES AND METHODS OF USE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
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Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is form PTO-1449 listing additional documents for consideration by the Examiner during the prosecution of the subject application. Copies of the German language PCT publication and the newly cited literature article are enclosed. Applicants do not have translations available for the PCT publication. However, we understand that U.S. Pat. Pub. 2003/0055263 is an English language equivalent for WO 03/006443.

Applicants respectfully request that the Examiner consider the documents cited on the enclosed Form PTO 1449 and provide applicants with an indication of that consideration by return of the Form PTO 1449 initialed and dated by the Examiner. Please consider Example 27 of WO 03/006443 (U.S. Patent Pub. 2003/0055263) in particular.

Copies of the U.S. Pat. Publication is not being submitted herewith, in accordance with the Official Gazette Notice dated August 5, 2003, waiving the requirement under 37 C.F.R. § 1.98(a)(2)(i) for submitting copies of cited U.S. patent publications in patent applications filed

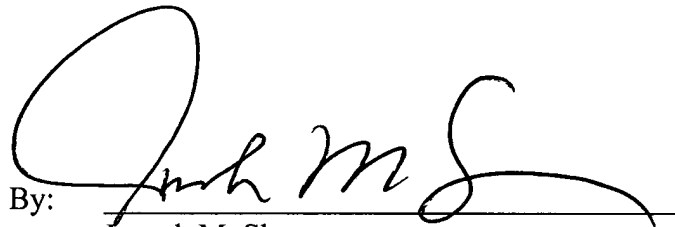
after June 30, 2003. If the Examiner nonetheless would like a copy of this document, please contact applicants' undersigned representative.

Applicants do not waive any rights to take appropriate action to establish patentability over the listed documents should they be applied as a reference against the claims of the present application.

Since this application has not yet been examined, it is believed no fee is required to make this a complete filing and for consideration of the cited documents. However, if a fee is required, to consider the documents cited on the enclosed form PTO-1449, then please charge our deposit account no. 19-0733 for the fee.

Consideration of this information is respectfully requested.

Respectfully submitted,

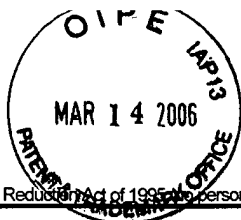
  
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/736,289
Filing Date	December 12, 2003
First Named Inventor	Wenge Zhong, et al.
Art Unit	1614
Examiner Name	Zinna N. Davis
Attorney Docket Number	6539.00001

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 2003/0055263 A1	03-20-2003	Priepke, et al.	First page face of patent only

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 03/006443 A2	01-23-2003	PCT		*

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1.	A.O. Doroshenko, E.A., et al., "Ensembles of Rings With a Coumarin Unit. 2. Spectral Luminescent Properties and Spin-Orbit Coupling in Molecules of 3-(2-R-Thiazol-4-YL)- and 3-(4-R-Thiazol-2-YL) Coumarins," Chemistry of Heterocyclic Compounds, Vol. 33, No. 10, 1997 pgs. 1177-1184.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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